

UNITED STATES DISTRICT COURT  
EASTERN DISTRICT OF WISCONSIN

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IN RE:           EXTENDING AUTHORIZATION                           General Order No. 21-13  
                  FOR CONDUCTING CERTAIN CRIMINAL  
                  HEARINGS BY VIDEO TELECONFERENCE  
                  OR TELEPHONE CONFERENCE UNDER  
                  THE CORONAVIRUS AID, RELIEF, AND  
                  ECONOMIC SECURITY ACT (CARES ACT),  
                  H.R. 748

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**GENERAL PUBLIC ORDER REGARDING COVID-19 VIRUS PUBLIC  
EMERGENCY—EXTENDING AUTHORIZATION FOR CONDUCTING CERTAIN  
CRIMINAL HEARINGS BY VIDEO TELECONFERENCING OR TELEPHONE  
CONFERENCING UNDER THE CORONAVIRUS AID, RELIEF, AND  
ECONOMIC SECURITY ACT (CARES ACT), H.R. 748**

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On March 27, 2020, the President of the United States signed into law the Coronavirus Aid, Relief, and Economic Security Act, (CARES Act), H.R. 748 (2020). Section 15002(b) of that Act provides for video teleconferencing, or telephone conferencing if video teleconferencing is not reasonably available, for certain criminal proceedings if the Judicial Conference of the United States finds that emergency conditions caused by the COVID-19 outbreak will materially affect the functioning of either the federal courts generally or a particular district court, and the chief judge of a court covered by that finding authorizes video teleconferencing, or telephone conferencing if video teleconferencing is not reasonably available.

On March 29, 2020, on the joint recommendation of the chairs of the Committee on Court Administration and Case Management and the Committee on Rules of Practice and Procedure, the Judicial Conference of the United

States found, under the CARES Act, that emergency conditions due to the national emergency declared by the President under the National Emergencies Act (50 U.S.C. §§1601, *et seq.*) with respect to COVID-19 have materially affected and will materially affect the functioning of the federal courts generally.

That same day—March 29, 2020—the undersigned issued General Order 20-6, authorizing the use of video teleconferencing, or telephone conferencing if video teleconferencing was not reasonably available, for the following events listed in Section 15002(b)(1) of the CARES Act:

- Detention hearings under 18 U.S.C. §3142;
- Initial appearances under Fed. R. Crim. P. 5;
- Preliminary hearings under Fed. R. Crim. P. 5.1;
- Waivers of Indictment under Fed. R. Crim. P. 7(b);
- Arraignments under Fed. R. Crim. P. 10;
- Probation and supervised revocation proceedings under Fed. R. Crim. P. 32.1;
- Pretrial release revocation proceedings under 18 U.S.C. §3148;
- Removal proceedings under Fed. R. Crim. P. 40;
- Misdemeanor pleas and sentencings under Fed. R. Crim. P. 43(b)(2); and
- Proceedings under 18 U.S.C. §403 (commonly known as the “Federal Juvenile Delinquency Act”), except for contested transfer hearings and juvenile delinquency adjudication or trial proceedings.

The undersigned ordered that under Section 15002(b)(4), the video teleconferencing or telephone conferencing authorized above could take place only with the consent of the defendant, or the juvenile, after consultation with counsel.

As chief judge, and under Section 15002(b)(2), the undersigned also specifically found that felony pleas under Fed. R. Crim. P. 11 and felony

sentencings under Fed. R. Crim. P. 32 could not be conducted in person without seriously jeopardizing public health and safety. The undersigned ordered that if the district judge in a particular case found, for specific reasons, that a felony plea or sentencing in that case could not be further delayed without serious harm to the interests of justice, that judge could, with the consent of the defendant after consultation with counsel, conduct the felony plea or sentencing by video teleconference, or by telephone conference if video teleconferencing was not reasonably available.

Under Section 15002(b)(3) of the CARES Act, the undersigned ordered that the authorization issued on March 29, 2020 remained in effect for ninety (90) days unless terminated earlier as described in Section 15002(b)(5). The undersigned ordered that if the emergency authority had not been terminated ninety (90) days from the entry of the order, the undersigned would review the authorization and determine whether to extend it. Since then, the undersigned has issued five orders extending the authorization, each time for ninety (90) days: on June 24, 2020; on September 22, 2020; on December 18, 2020; on March 16, 2021; and on June 11, 2021.

As of September 7, 2021—five hundred twenty-seven days from the date the undersigned issued General Order 20-6—the emergency authority has not been terminated. On February 24, 2021, the President notified Congress that the pandemic continues to cause significant risk to the public health and safety of the nation. On February 26, 2021, the President published formal notice in the Federal Register continuing the national emergency concerning

COVID-19. <https://www.federalregister.gov/documents/2021/02/26/2021-04173/continuation-of-the-national-emergency-concerning-the-coronavirus-disease-2019-covid-19-pandemic>.

On March 29, 2020—the date the undersigned issued the original authorization—there had been 1,112 confirmed cases of COVID-19 in the state of Wisconsin; as of September 7, 2021, there have been over 670,000, with over 7,600 deaths. <https://www.dhs.wisconsin.gov/covid-19/index.htm>.

Nationwide there have been over 39,000,000 confirmed cases and almost 641,000 deaths. <https://www.cdc.gov/coronavirus/2019-ncov/covid-data/covidview/index.html>. In mid-June 2021, when the court last extended the CARES Act authorization, the percentage of positive test results had been hovering between 1.2% and 1.5% over the past several weeks. As of September 7, 2021—three months later—it has hovered between 7.5% and 8.1%. The seven-day moving average of COVID patients hospitalized has increased from 79 on July 10, 2021 to 867 at the end of August 2021. Hospital beds are at 88.8% capacity and ICU beds at 91.6% capacity (although only 33.1% of hospitals are at their overall peak capacity and only 54.9% of ICUs are at their peak capacity). <https://www.dhs.wisconsin.gov/covid-19/hosp-data.htm>. The Wisconsin Department of Health Services continues to advise citizens to avoid crowded and poorly ventilated indoor spaces, to practice social distancing, to wear masks or face coverings, to wash their hands frequently, to stay at home when sick, to get tested if they have symptoms of the virus or have been exposed to it and to get vaccinated. <https://www.dhs.wisconsin.gov/covid->

19/protect.htm. The Centers for Disease Control and Prevention make similar recommendations. <https://www.cdc.gov/coronavirus/2019-ncov/your-health/need-to-know.html>.

There has been progress. The number of new confirmed positive cases and deaths in Wisconsin is lower than it was in the fall of 2020. As of September 7, 2021, 55.3% of Wisconsin residents have received at least one dose of vaccine and 51.9% have been fully vaccinated; of those over eighteen, 62.5% have been vaccinated. <https://www.dhs.wisconsin.gov/covid-19/vaccine-data.htm>. In Wisconsin, anyone age twelve or older is eligible to be vaccinated. <https://www.dhs.wisconsin.gov/covid-19/parents.htm>. But with the surge of the highly transmissible Delta variant, there is very substantial virus activity in the community, with over 1,000 people testing positive daily and daily reports of COVID-19 deaths. While vaccines remain a powerful tool in slowing the spread of the virus and in reducing the likelihood of severe illness if infected, vaccinated persons can become infected and can become ill.

In the past eighteen months, courts in the Eastern District of Wisconsin have closed buildings to the public, implemented teleworking and made liberal use of the CARES Act authority to hold hearings via videoconference and telephone conference. They have cautiously reopened buildings under restrictions regarding masks and social distancing. They have cautiously resumed jury trials, with restrictions. Although the courts are implementing protocols to enable them to minimize the risks attendant to conducting the business of the justice system, the ongoing public health threat continues to

materially affect the functioning of the courts and requires that the courts continue to rely heavily on the ability to conduct hearings remotely via videoconference or telephone conference to protect the health and safety of all court users.

Under §15002(b)(3)(A), the undersigned has reviewed the March 29, 2020, June 24, 2020, September 22, 2020, December 18, 2020, March 15, 2021 and June 11, 2021 authorizations, and has determined for the above reasons that re-authorization for another ninety (90) days is warranted and necessary. Accordingly, the undersigned extends the authorization of courts in the Eastern District of Wisconsin to utilize video teleconferencing, or telephone conferencing if video teleconferencing is not reasonably available, for the following events listed in Section 15002(b)(1) of the CARES Act:

- Detention hearings under 18 U.S.C. §3142;
- Initial appearances under Fed. R. Crim. P. 5;
- Preliminary hearings under Fed. R. Crim. P. 5.1;
- Waivers of Indictment under Fed. R. Crim. P. 7(b);
- Arraignments under Fed. R. Crim. P. 10;
- Probation and supervised revocation proceedings under Fed. R. Crim. P. 32.1;
- Pretrial release revocation proceedings under 18 U.S.C. §3148;
- Removal proceedings under Fed. R. Crim. P. 40;
- Misdemeanor pleas and sentencings under Fed. R. Crim. P. 43(b)(2); and
- Proceedings under 18 U.S.C. §403 (commonly known as the “Federal Juvenile Delinquency Act”), except for contested transfer hearings and juvenile delinquency adjudication or trial proceedings.


Under Section 15002(b)(4), the video teleconferencing or telephone conferencing authorized above may take place only with the consent of the defendant, or the juvenile, after consultation with counsel.

As chief judge, and under Section 15002(b)(2), the undersigned specifically finds that felony pleas under Fed. R. Crim. P. 11 and felony sentencings under Fed. R. Crim. P. 32 cannot be conducted in person without seriously jeopardizing public health and safety. If the district judge in a particular case finds, for specific reasons, that a felony plea or sentencing in that case cannot be further delayed without serious harm to the interests of justice, that judge may, with the consent of the defendant after consultation with counsel, conduct the felony plea or sentencing by video teleconference, or by telephone conference if video teleconferencing is not reasonably available.

Under Section 15002(b)(3) of the CARES Act, the undersigned orders that this extended authorization remains in effect for ninety (90) days unless terminated earlier as described in Section 15002(b)(5). If the emergency authority had not been terminated ninety (90) days from the entry of the order, the undersigned will review the authorization and determine whether to extend it.

Dated in Milwaukee, Wisconsin this 7th day of September, 2021.

**BY THE COURT:**

A handwritten signature in black ink, appearing to read 'P. Pepper', is written over a horizontal line.

**HON. PAMELA PEPPER**  
**Chief United States District Judge**